

FORM PTO-1390  
(REV. 1-98)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

20560-27

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5)

09/7719945

INTERNATIONAL APPLICATION  
NO.

PCT/AT99/00154

INTERNATIONAL FILING DATE

14 JUNE 99

PRIORITY DATE CLAIMED

17 JUNE 98

TITLE OF INVENTION:

**PHARMACEUTICAL FACTOR VII PREPARATION**

APPLICANT(S) FOR DO/EO/US

**MATTHIESSEN, Peter, TURECEK, Peter, and SCHWARZ, Hans-Peter**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☒ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (informal)
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter. (informal)
16. ☐ Other items of information:

U.S. APPLICATION NO. (61 known) 09/7719945		INTERNATIONAL APPLICATION NO. PCT/AT99/00154		ATTORNEY'S DOCKET NUMBER 20560-27	
17. <input checked="" type="checkbox"/> The following fees are submitted:				CALCULATIONS PTO USE ONLY	
<b>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):</b> Search Report has been prepared by the EPO or JPO..... \$ International preliminary examination fee paid to USPTO (37 CFR 1.482) ..... \$ No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... \$860.00 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$ International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provision of PCT Article 33(2)-(4) ..... \$ <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				<b>\$860.00</b>	
Surcharge of \$130 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	27 - 20 =	7	x \$18.00	<b>\$126.00</b>	
Independent claims	4 - 3 =	1	x \$80.00	<b>\$80.00</b>	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00		
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$1,066.00</b>	
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.0, 1.27, 1.28).					
<b>SUBTOTAL =</b>				<b>\$1,066.00</b>	
Processing fee of \$130 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+	
<b>TOTAL NATIONAL FEE =</b>				<b>\$1,066.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property				+	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$1,066.00</b>	
				Amount to be refunded	\$
				Charged	\$

- a. ☒ A check in the amount of \$1,066.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayments  
to Deposit Account No. 16-2230. A duplicate copy of this sheet is enclosed.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

OPPENHEIMER WOLFF & DONNELLY LLP  
500 Newport Center Drive, Suite 700  
Newport Beach, California 92660  
Attn: Louis C. Cullman  
Customer No: 25204

SIGNATURE:

Louis C. Cullman

NAME

39,645

REGISTRATION NUMBER

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## CERTIFICATE OF EXPRESS MAIL

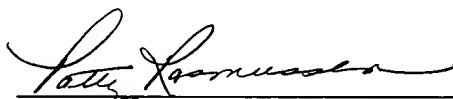
Applicant's or agent's file reference: 20560-27		To:	
		Assistant Commissioner for Patents Box DO/EO/US Washington, DC 20231	
International application No. (if known) PCT/AT/00154	International Filing date (day/month/year) (if known) 14/06/99	(Earliest) Priority date (day/month/year) 17/06/98	
Applicant MATTHIESSEN, Peter, TURECEK, Peter, and SCHWARTZ, Hans-Peter			
Title of invention PHARMACEUTICAL FACTOR VII PREPARATION			

Sir:

EXPRESS MAIL Mailing Label Number: EL591284467US  
Date of Deposit: December 15, 2000

I hereby certify that these papers and fee(s) are being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above, with sufficient postage in an envelope addressed to Box PCT, Assistant Commissioner of Patents, Washington, DC 20231.

1. Transmittal Letter to the United States Designated Office with Enclosures
2. Translation of amendments to the claims under PCT Article 19
3. Combined Declaration, Power of Attorney and Petition.
4. Preliminary Amendment
5. Check No. 011592 in the amount of \$1,066.00.
6. Postcard



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